

## UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/931,998	08/17/2001	Steven Buoncuore	SBI	4180
759	7590 11/19/2003		EXAMINER	INER
Edward J. Kaliski			KEENAN, JAMES W	
ONE GRACIE TERRANCE-12E NEW YORJ, NY 10028			ART UNIT	PAPER NUMBER
,			3652	
			DATE MAILED: 11/19/2003	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
<b>4</b>	09/931,998	BUONCUORE, STEVEN				
Office Action Summ ry	Examiner	Art Unit				
	James Keenan	3652				
The MAILING DATE of this communication appears on the cover sh t with th correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status						
1) Responsive to communication(s) filed on 14.	July 2003 .					
	is action is non-final.					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4)⊠ Claim(s) <u>1,3-5,7-11,13 and 14</u> is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1,3,8 and 9</u> is/are rejected.						
7)⊠ Claim(s) <u>4,5,7,10,11,13 and 14</u> is/are objected to.						
8) Claim(s) are subject to restriction and/or election requirement.  Application Papers						
9)☐ The specification is objected to by the Examiner.						
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.						
If approved, corrected drawings are required in reply to this Office action.						
12)☐ The oath or declaration is objected to by the Examiner.						
Priority under 35 U.S.C. §§ 119 and 120						
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).						
a) ☐ All b) ☐ Some * c) ☐ None of: —						
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
<ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).						
<ul> <li>a) ☐ The translation of the foreign language provisional application has been received.</li> <li>15)☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.</li> </ul>						
Attachment(s)						
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	5) Notice of Informal	y (PTO-413) Paper No(s) Patent Application (PTO-152)				

Application/Control Number: 09/931998 Page 2

Art Unit: 3652

1. Applicant is advised that the Notice of Allowance mailed 7/29/03 is vacated. If the issue fee has already been paid, applicant may request a refund or request that the fee be credited to a deposit account. However, applicant may wait until the application is either found allowable or held abandoned. If allowed, upon receipt of a new Notice of Allowance, applicant may request that the previously submitted issue fee be applied. If abandoned, applicant may request refund or

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

credit to a specified Deposit Account.

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 3. Prosecution on the merits of this application is reopened on claims 1, 3, 8 and 9 considered unpatentable for the reasons indicated below:

Claims 1, 3, 8, and 9 are rejected under 35 U.S.C. 102(b) as being anticipated by Glass et al (US 3,759,399, of record).

Glass et al show a fork lift accessory comprising a lifter capable of lifting, removing and replacing a rail car lid, including a base configured as a pallet for fork truck handling, as seen in figure 1, frame 16 coupled to the base, and boom 18-19 having at its distal end a fitting 22, 27 which could engage a rail car lid handle, wherein the boom has generally parallel upper and lower

Application/Control Number: 09/931998

Page 3

Art Unit: 3652

surfaces spaced at the distal end which could fit within an open sided space of a lid handle, and

wherein the assembly of the boom, frame, and base could clearly stand freely upon the ground

when not in use. Note that the rail car lid per se is not a positively recited limitation; thus, since

the apparatus of Glass et al could be used, without structural modification, to manipulate a rail car

lid in the manner set forth, it is considered to fully anticipate the claims.

4. Claims 4-5, 7, 10-11, and 13-14 are objected to as being dependent upon a rejected base

claim, but would be allowable if rewritten in independent form including all of the limitations of

the base claim and any intervening claims.

5. Any inquiry concerning this communication or earlier communications from the examiner

should be directed to James Keenan whose telephone number is (703) 308-2559.

The fax phone number for the organization where this application or proceeding is

assigned is 305-7687.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is 308-1113.

jwk

October 3, 2003